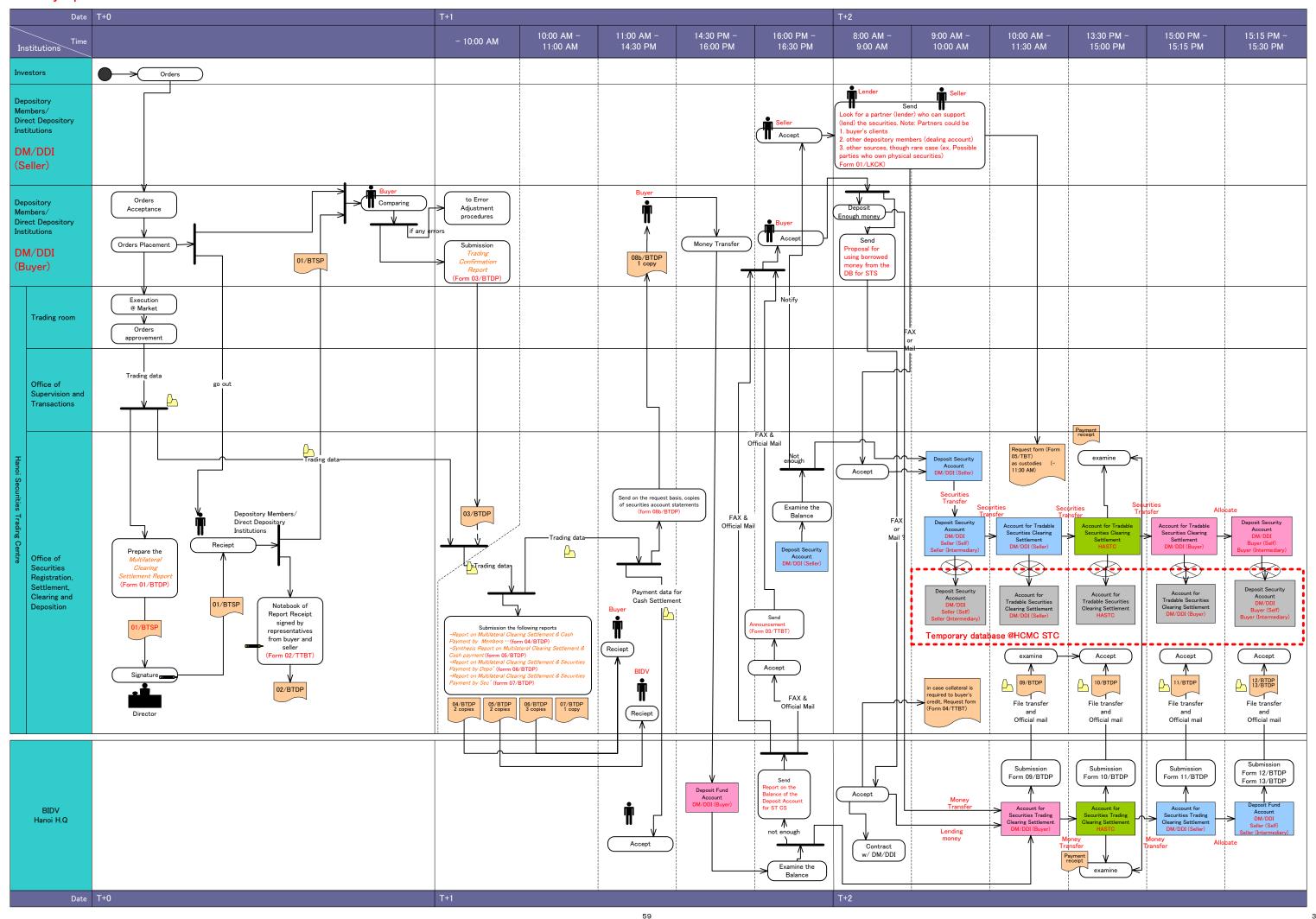
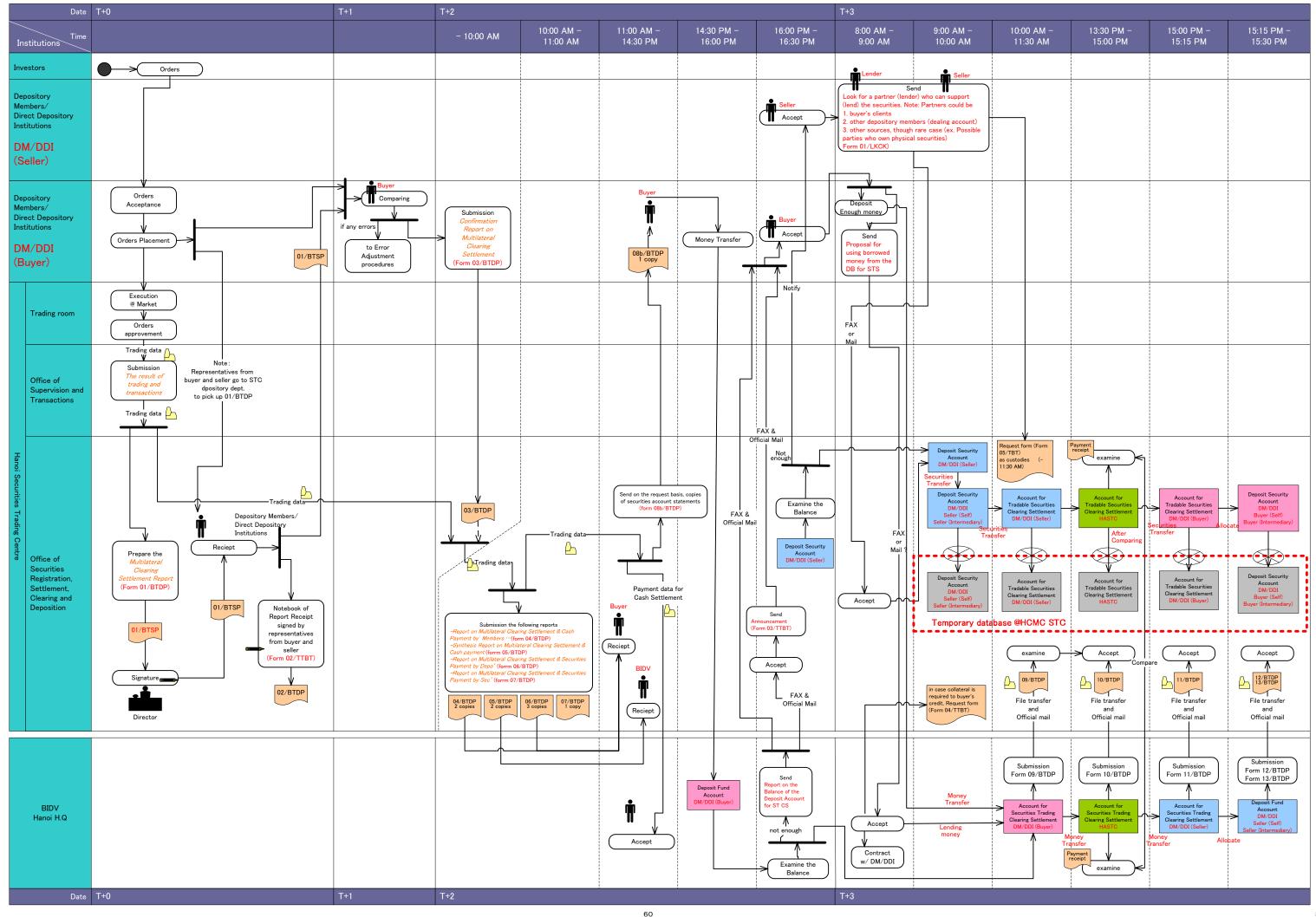
### Appendix 3

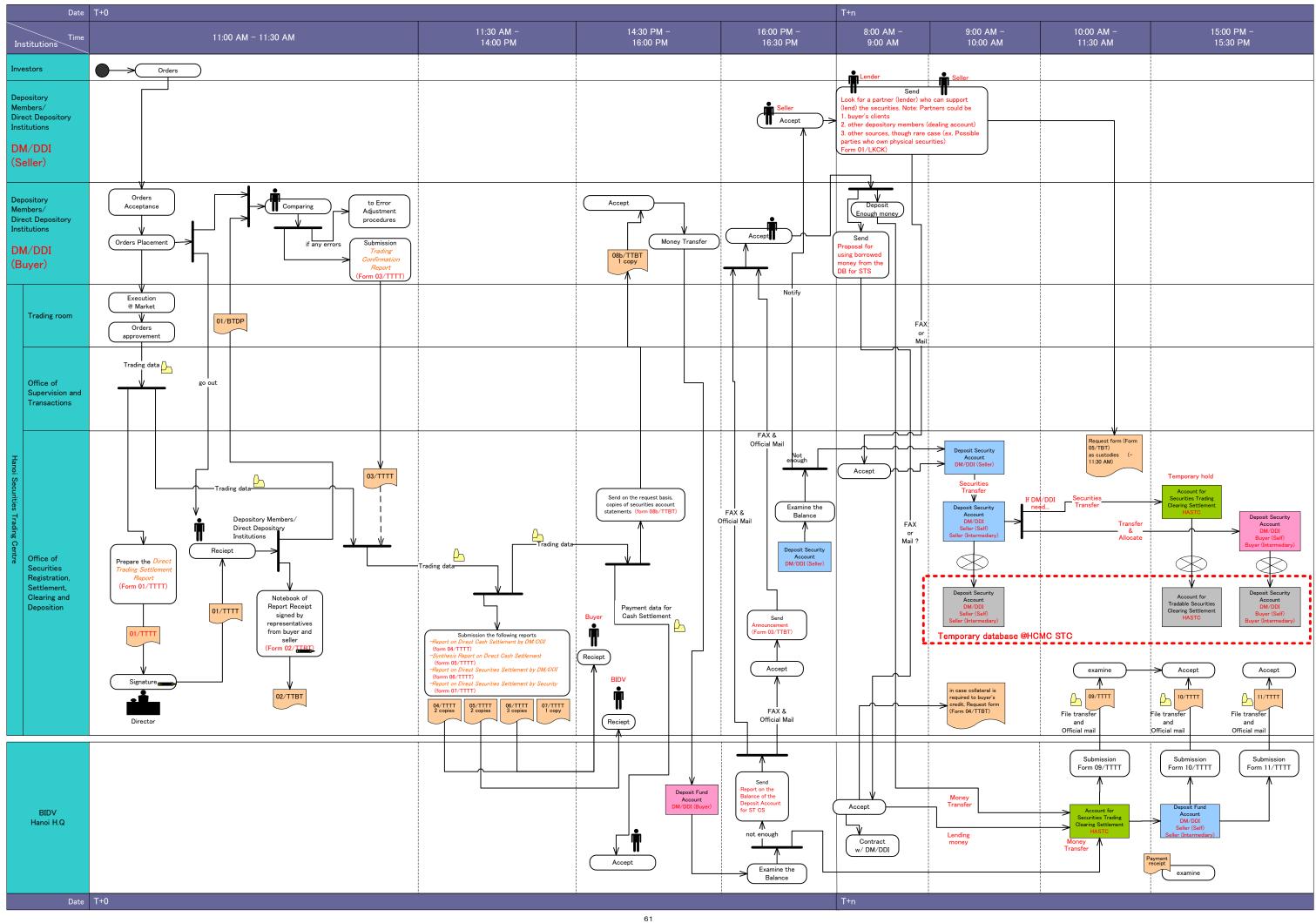
The Procedures for Settlement on the basis of Bilateral Clearing @ Hanoi Securities Trading Centre (HASTC) Ordinary Operation



## The Procedures for Settlement on the basis of Multilateral Clearing (T+3) @ Hanoi Securities Trading Centre (HASTC) Ordinary Operation



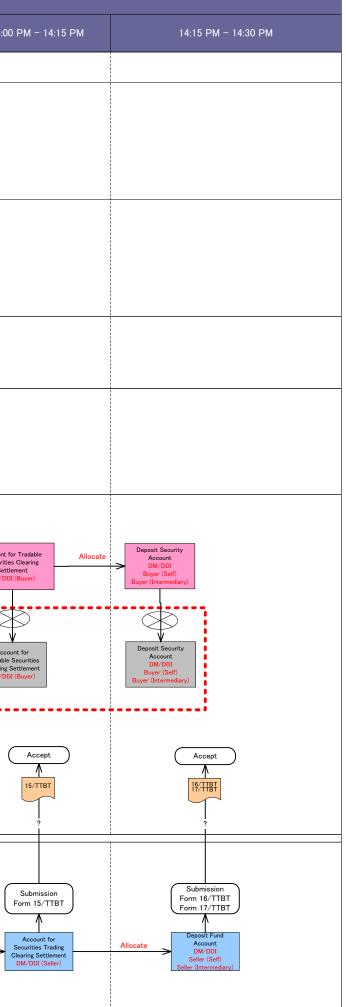
#### The Procedures for Direct Settlement @ Hanoi Securities Trading Centre (HASTC) Ordinary Operation



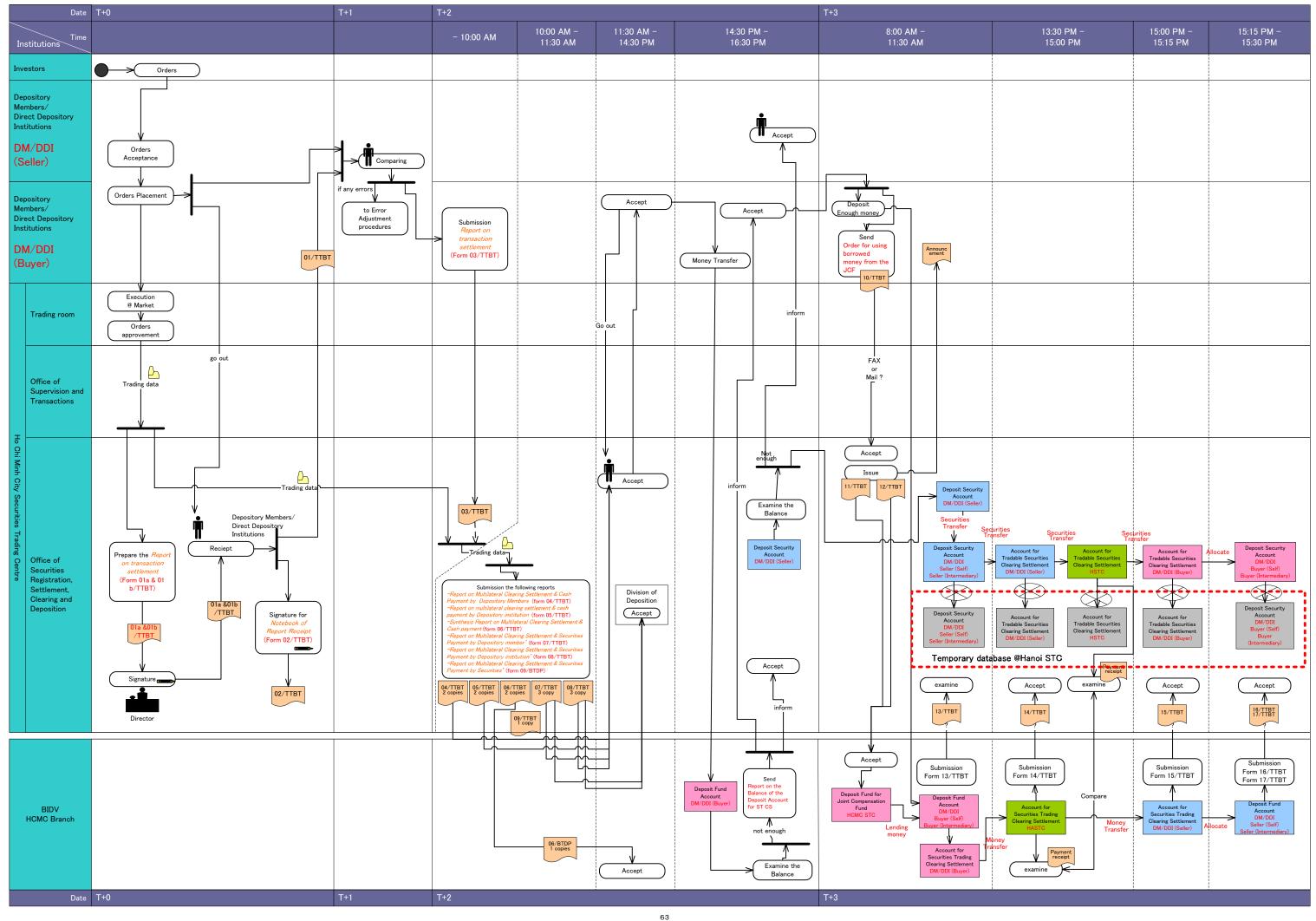
## The Procedures for Settlement on the basis of Multilateral Clearing @ Ho Chi Minh City Securities Trading Centre (HOSTC) T+1 Ordinary Operation

Date	1+0			1+1			
Time Institutions		13:30 PM - 15:00 PM	15:00 PM - 15:30 PM	8:00 AM - 10:30 AM	10:30 AM - 11:00 AM	13:30 PM - 14:00 PM	14:
Investors	Orders						
Depository Members/ Direct Depository Institutions DM/DDI (Seller) Depository Members/ Direct Depository Institutions DM/DDI (Buyer)	Orders Acceptance Orders Placement		if any errors to Error Adjustment procedures	Money Transfer Till 10:00 AM Money Transfer Till 10:00 AM inform			
Trading room	V Execution @ Market V Orders approvement	go out-					
Office of Supervision and Transactions	Trading data						
Ho Chi Minh City Securities Registration, Settlement, Clearing and Deposition	Prepare the -Report on transaction settlement (Form 01a & -Report on clearing settlement and cash paymer (From 04/TTBT) -Synthesis report on clearing settlement and cash -Report on clearing settlement and securities pa (From 07a/07b/TTBT) -Report on clearing settlement and securities pa (From 08/TTBT) -Report on clearing settlement and securities pa	nt by depository members (T+1) nt by depository institutions (T+1) sh payment T+1 (From 06/TTBTSP) ayment by depository members (T+1) ayment by depository institutions ayment by securities (From 09/TTBT) 07/TDT/ 09/TTBT 09/TTBT	Reciept Signature for Notebook of Report Receipt (Form 02/TTBTSP) 02/TTBTSP	Inform Not enough Examine the Balance Deposit Security Account DM/DDI (Seller)	Securities Transfer Deposit Security DM/DDI Seller (Self) Deposit Security Seller (Intermediary) Deposit Security Account for Tradable Securities DM/DDI (Seller) Seller (Intermediary) Temporary database @Hanoi ST	Account for Tradable Securities Clearing Settlement HSTC Account for Tradable Securities Clearing Settlement HSTC Payment examine Accept	Accour Secur DM/
BIDV HCMC Branch			Accept	Deposit Fund Account DM/DDI (Buyer) Examine the Balance for ST CS not enough	Deposit Fund Account DM/DDI Buyer (Self) Buyer (Self) Buyer (Intermediary) Money Transfer Account for Securities Trading Clearing Settlement DM/DDI (Buyer)   V   Submission Form 13/TTBT	Submission Form 14/TTBT Account for Securities Trading Olearing Settlement HASTO Payment receipt examine	
Date	T+0			T+1		·	

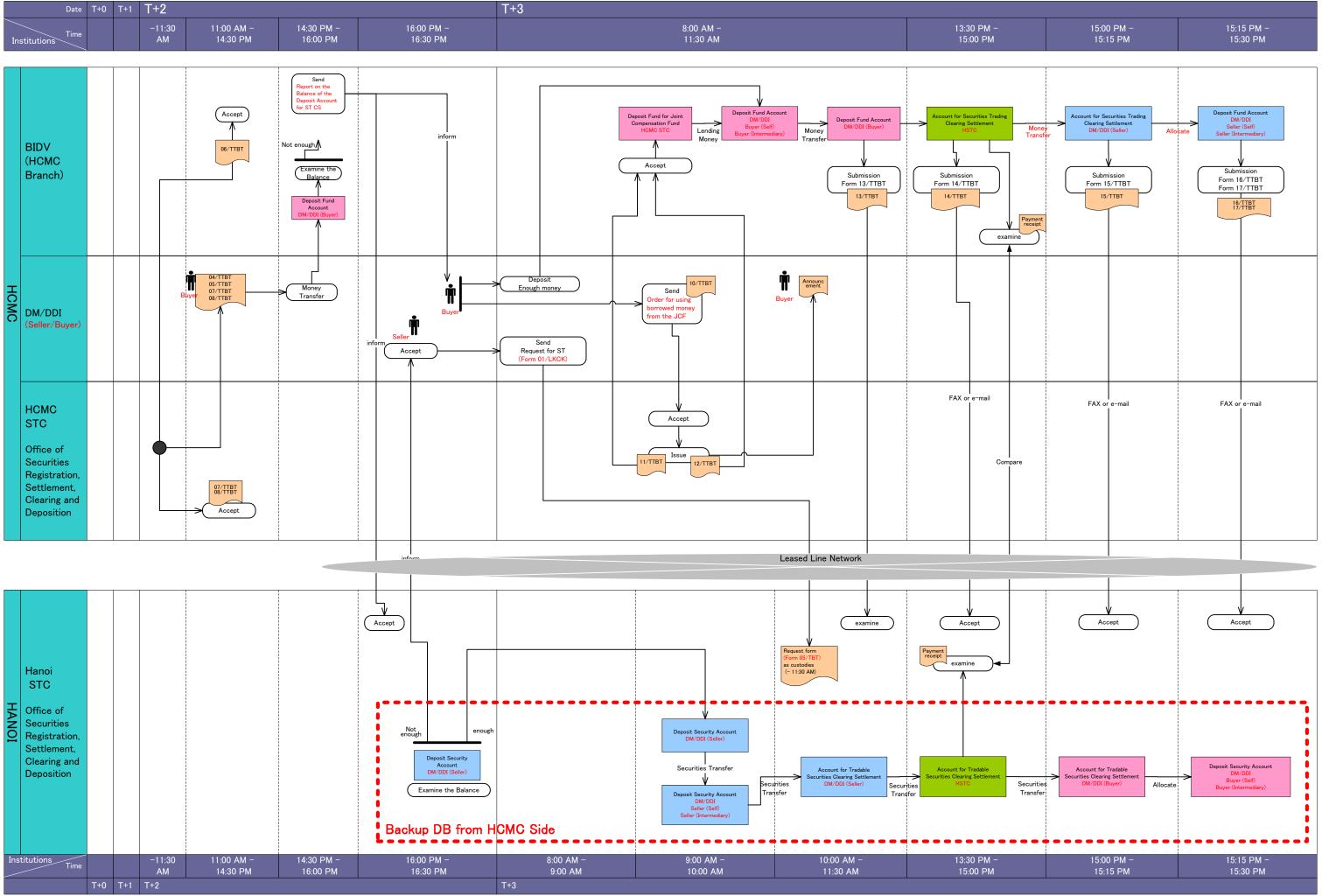
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### The Procedures for Settlement on the basis of Multilateral Clearing @ Ho Chi Minh City Securities Trading Centre (HOSTC) Ordinary Operation



## The Procedures for Settlement on the basis of Multilateral Clearing (T+3) HOSTC -> HASTC Connection Emergency case



# The Procedures for Settlement on the basis of Multilateral Clearing (T+3) HASTC -> HOSTC Connection Emergency case

