

## Appendix

### Appnedix 1

Overall activities for the Technical Assistance to Vietnam on the Establishment of Vietnam Securities Depository, commissioned by Ministry of Finance Japan

Month	day	Activities	Details	Requests from Vietnam	Requests from Japan	Agreement
8	5 -				The contents of TA; 1) To design a new system for the bond settlement at VSD 2) To follow-up on the process leading to the finalization of the Securities Law	
				The contents of TA; 1) Integration of the systems at HASTC and HOSTC 2) Adoption of new system for VSD		
	7 ~ 13	1st trip to Vietnam	Visited VMOF,SSC			The contents of TA; 1) Proposal on the integration of systems at HASTC and HOSTC 2) Comprehensive analysis on the effect of choosing a mono-layered system for the new system
			Visited HASTC		Operation manual of HASTC	
			Visited SSC	Preliminary request to host the mission for studying securities market in Japan		
	14	Received operation manual from HASTC (Vietnamese)				
	22	Report to JMOF	by Email			
	24	Received operation manual from HASTC (English)				
	27	Questionnaire to SSC	Objectives and policies for the integration			To receive the answers by Aug.30
29	Report to JMOF	Meeting; the contents of TA and how to proceed with				

Month	day	Activities	Details	Requests from Vietnam	Requests from Japan	Agreement	Supplement
9	12	Letter to VMOF	The contents of TA				
	22	Material to VMOF	Tentative schedule				
10	11 ~ 15	2nd trip to Vietnam	Visited SSC	To include the recommendation of layered system Vietnam should adopt	It is difficult to design system under the situation in which layered system is undecided	The contents of TA; 1) Proposal on the integration of systems at HASTC and HOSTC 2) Comprehensive analysis on layered system 3) Support VSD to draw the medium to long-term IT development plan at VSD	<b>Agreement on the contents of TA (changed from August)</b>
					Operation manual of HOSTC		
	16	Received answers from SSC	Received answers for the questionnaire we submitted in August				
	17	Report to JMOF	Meeting: the agreement on the contents of TA in 2nd trip				
	18	Received operation manual from HOSTC (Vietnamese)		To make official TA proposal			The local consultant mentioned the necessity of TA proposal
11	10/30 ~ 5	3rd trip	Visited VMOF, SSC Submitted TA proposal	SSC's Requests on the schedule of TA 1) System integration (from Nov. to early Dec.) 2) Layered system (from Feb.) 3) IT development plan (from mid Dec. to Jan.)		To conduct TA based on the schedule SSC requested To hold workshop at the end of each theme of TA	<b>Agreement on the schedule of TA</b>
	2	Received operation manual from HOSTC (English)					
	7 ~ 11	Study tour to Japan	9 mission visited Japan				
	17	Submitted flow charts of HASTC	Submitted flow charts of HASTC made based on the manual received in				
	20 ~ 12/19	4th trip to Vietnam	Visited SSC (22) Formed WG (29) Visited HASTC (30)				
21	Submitted flow charts of HOSTC	Submitted flow charts of HOSTC made based on the manual received in October					

Month	day	Activities	Details	Requests from Vietnam	Requests from Japan	Agreement
12	1	4th trip to Vietnam (continued from Nov.)	Visited HOSTC			
	12	Preliminary proposal	Submitted preliminary proposal to WG for system integration			
	16 ~ 15	WG activities	Discussion with WG			· Final proposal on system integration · To start discussion on IT development plan in Jan. · To hold workshops for system integration and IT development
	22	Report to JMOF	Meeting: the agreement and activities in 4th trip			
1	18 ~ 12	5th trip to Vietnam	Discussion for IT development plan			
	12	Workshop	on system integration			
	13	Workshop	on IT development plan			
		SSC		· To send an official letter to SSC for the cooperation in market survey for layered system in advance · To comment on 7th draft of Securities Law		· To hold a workshop for layered system issue in Feb. · To send an official letter to SSC for the cooperation in market survey for layered system in advance · To comment on 7th draft of
	26	Official letter for market survey	Sent an official letter to SSC for the cooperation in market survey			
	27	Questionnaire to SSC	Submitted a questionnaire for market			
	31	Report to JMOF	Meeting: the agreement and activities in 5th trip			
2	16	SSC's comments	Submitted the comments on 7th draft of Securities Law			
	13 ~ 3/4	6th trip to Vietnam				
	2/14 ~ 17	Market survey	at Ho Chi Minh City and Hanoi			
	20 ~ 3/1	WG activities	Discussion with WG			To hold a workshop in March 2
3	2	Workshop	on Layered system			
	9	Report to JMOF	by Email: the results of 6th trip			
	14	Request from SSC	Received request for the future TA	Advising on the function of VSD in the performance of the central market as well as the OTC market and designing the system		
	24	Submitted final TA report				